Equity Services Incorporated®

Securities Movement Request

Fax to: (800) 346-9378

Securities Movement Request is to journal assets between accounts, DTC securities to another firm or transfer and ship securities in certificate form.

Account Number: ☐☐☐ --- ☐☐☐☐☐☐

Print Form

Account Registration: _____

Assets to be Moved:

Share Quantity	Security Name	Cusip or Symbol

☐ **DTC Delivery**

Firm Name: _____ Firm DTC Number: _____

FBO Account Name: _____ FBO Account Number: _____

☐ **Journal** to Account Number: ☐☐☐ - ☐☐☐☐☐☐

Account Registration: _____

☐ **Transfer & Ship** *(Per DRS procedures, the security will be registered at the transfer agent in book entry form. Only non-DRS eligible securities will be shipped in certificate form.)*

Register as:

☐ Account Registration

☐ Special Registration - **SSN Required**

☐☐☐☐☐☐☐☐☐

(Supply registration below.)

_____

_____

_____

Ship to:

☐ Address of Record

☐ Special Address

_____

_____

_____

☐ Fund Company *(De-Networking only available on non-retirement accounts)*

Mutual fund account number: _____

Required

Authorization *(All Owners' Signatures Required)*

IAR/Split Code: ☐☐☐

Client Name	Client Signature	Date
Client Name	Client Signature	Date
Steven Simon Registered Representative Name	Registered Representative Signature	Date